

TFW 2829

BRINKS  
HOFER  
GILSON  
& LIONE

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 13, 2006 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshio MIKIYA et al.Appln. No.: 10/520,206Filed: December 28, 2004For: FEMALE COUPLING MEMBER  
OF A PIPE COUPLINGExaminer: To Be AssignedArt Unit: 2829Attorney Docket No: 10210/25

Mail Stop IDS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal (in duplicate); Second Supplemental Information Disclosure Statement; Form PTO-1449; 2 Cited References including German Office Action (C2 and C3)
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

September 13, 2006

Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Toshio MIKIYA et al.  
Appln. No.: 10/520,206  
Filed: December 28, 2004  
For: FEMALE COUPLING MEMBER  
OF A PIPE COUPLING

Examiner: To Be Assigned  
Art Unit: 2829

Attorney Docket No: 10210/25

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

**U.S. Patent Document**

No.	Date of Publication	Patentee/Applicant/Assignee
4,397,445	Aug. 9, 1983	Burquier

**Foreign Patent Document**

No.	Date of Publication	Patentee/Applicant/Assignee
DE3117935	Apr. 22, 1982	German Patent Office

**Other Art**

German Office Action dated June 1, 2006

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Reference C3 is an Office Action issued in the counterpart German application. An English abstract thereof is also attached to this Statement. Reference C2 was cited in the Office Action. Reference C2 is in German. Applicant is submitting Reference C1, which the US counterpart of Reference C2.


Applicant respectfully requests the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

September 13, 2006  
Date

  
\_\_\_\_\_  
Tadashi Horie (Reg. No. 40,437)

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FORM PTO-1449	SERIAL NO. 10/520,206	CASE NO. 10210/25
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE December 28, 2004	GROUP ART UNIT 2829
(use several sheets if necessary)	APPLICANT(S): Toshio MIKIYA et al.	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	4,397,445	08/09/1983	Burquier		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	C2	DE3117935	04/22/1982	German Patent Office		4,397,445

EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	C3	German Office Action dated June 1, 2006

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.